

(01/26/1998)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 11/15/1999

To: New York

Attn: SAC

From: New York

C-31/BQMRA

Contact: SA [REDACTED] Ext. 7351

Approved By: [REDACTED]

Drafted By: [REDACTED]:jw

Case ID #: 87G-NY-209009 (Closed)

Title: BURTON KAPLAN;
Et Al;
TOP THIEF TARGET

Synopsis: Delay in submitting FD-515.

Details: On 11/8/99, Assistant United States Attorney (AUSA) [REDACTED] Eastern District of New York (EDNY), contacted writer to advise, she is newly assigned to captioned case. The former AUSA assigned to the case, [REDACTED] has left the United States Attorney's Office and was appointed a New York State judge.

AUSA [REDACTED] advised captioned case was unassigned and no activity has been conducted in over a year.

AUSA Rhode advised [REDACTED] was indicted on 6/6/98, plead guilty and is scheduled to be sentenced 11/12/99, by United States District Judge Sterling Johnson, EDNY.

UNLOADED

11-16-99

Closed

87G-NY-209009-305

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| SEARCHED | INDEXED |
| SERIALIZED | FILED |
| NOV 18 1999 | |
| FBI - NEW YORK | |

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DELAY. GRW

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AN

87G-NY-209009-304

closed

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87G-NY-209009-303

SEARCHED _____
SERIALIZED _____
INDEXED _____
FILED _____

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closed

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Memorandum



To : SAC ADIC, NEW YORK [] (P) Date 3/26/96

From : SA [] (BQMRA) (C-31)

Subject : []

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| | | |
|---|---------------|----------|
| Dates of Contact | | |
| 3/25/96 | | |
| File #s on which contacted (Use Titles if File #s not available) | | |
| 281A-NY-233153 | | |
| 87G-NY-209009 | | |
| Purpose and results of contact | | |
| <input type="checkbox"/> NEGATIVE <input checked="" type="checkbox"/> POSITIVE <input type="checkbox"/> STATISTIC | | |
| Description of Statistical Accomplishment | Title of Case | File No. |
| <div style="text-align: right;"> <p>87G-NY-209009-302</p> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> SEARCHED INDEXED SERIALIZED FILED MAR 25 1996 FBI NEW YORK </div> </div> | | |
| Information contained herein was obtained confidentially. The informant's name is not to be disclosed in any form unless a conscious decision has been made to disclose his/her identity by an appropriate FBI official. | | |
| PERSONAL DATA | | |

1 - [] 1 - 281A-NY-233153 (SA [])

1 - CIMS

1 - 87G-NY-209009 CLOSED

Init. GRW/grw

(4)

see reverse side for statistics

281A-NY-233153

(2)

GRW/grw

ADMINISTRATIVE :

The aforementioned information collaborates what []
[] reported back on 2/2/96, that "BURT is in Vegas with
[] and some of the other guys".

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281A-NY-233153

(1)

GRW/grw

On March 25, 1996, [redacted] provided Special Agent [redacted] with the following information:

Source has learned BURT KAPLAN is in Las Vegas, Nevada [redacted] BURT.

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876 NY 209009-381

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| SEARCHED | INDEXED |
| SERIALIZED | FILED |
| MAR 28 1996 | |
| FBI - NEW YORK | |

[Signature]

[Handwritten circle and signature]

INFORMATION ABOVE SHOULD NOT BE DISSEMINATED TO OUTSIDE AGENCIES.

ADMINISTRATIVE:

Based on source's information, the LUCHESE squad and DEA were able to obtain a pen register [REDACTED] KAPLAN, who is a fugitive on a drug warrant issued by the Eastern District of New York, is living in the New York area.

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On 12/27/95, [REDACTED]
[REDACTED] Since
that time source [REDACTED] KAPLAN.

87G-NY-209009

(1)

GRW/grw

ce
2/8/96
ASK

On November 7, 1995, [] Special Agent []
[] with the following:

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~~KAPLAN.~~ Source provided [] BURT

87G-NY-209009

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| SERIALIZED | FILED |
| JAN 30 1996 | |
| YORK | |

Close & []

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INFORMATION ABOVE IS EXTREMELY SINGULAR IN NATURE AND THEREFORE
SHOULD NOT BE DISSEMINATED TO OUTSIDE WITHOUT PRIOR CONTACT WITH
CASE AGENT.

87G-NY-209009

(1)

GRW/grw

On October 12, 1995, [redacted] provided Special Agent [redacted] with the following:

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Source advised, on or about October 10, 1995, BURT KAPLAN [redacted]
[redacted] KAPLAN
stated [redacted]
[redacted] who is a long time associate of KAPLAN.
KAPLAN also told [redacted] would call back
in a few days with details [redacted]

On October 12, 1995, [redacted]
of KAPLAN's counterfeit CHAMPION operation observed BURT KAPLAN
and his wife walking down Seventh Avenue in Manhattan [redacted]

Source advised, this was the same area of Seventh Avenue in the
garment district, [redacted]
pizzeria on August 8, 1995.

87G-NY-209009-299

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| SERIALIZED | FILED |
| OCT 12 1995 | |
| FBI - NEW YORK | |

[redacted] [redacted]

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INFORMATION ABOVE IS EXTREMELY SINGULAR IN NATURE AND THEREFORE
SHOULD BE DISSEMINATED TO OUTSIDE AGENCIES.

✓
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87G-NY-209009

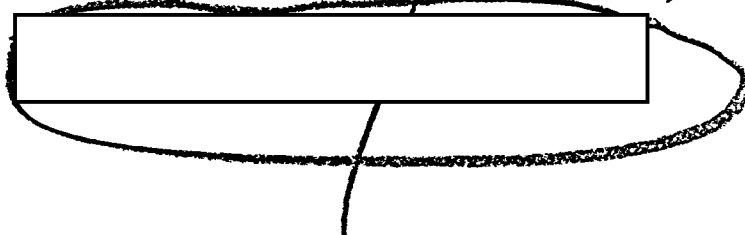
(1)

GRW/grw

On October 5, 1995, [redacted] provided Special Agent [redacted] with the following:

At the request of SA [redacted], C-17, source provided the following [redacted] an associate of BURT KAPLAN, who a federal arrest warrant has been recently issued from the Eastern District of New York:

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87G-NY-209009-298

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| SERIALIZED | FILED |
| OCT 0 - 1995 | |
| NEW YORK | |

[Handwritten signatures and initials are present over the stamp and to its right.]

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INFORMATION ABOVE SHOULD NOT BE DISSEMINATED TO OUTSIDE AGENCIES.

87G-NY-209009

(1)

GRW/grw

On September 8, 1995, [redacted] provided Special Agent [redacted] with the following:

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Source advised, on or about [redacted] BURT KAPLAN [redacted]

[redacted] Source advised, [redacted]

[redacted] KAPLAN also told the associate when he comes

back [redacted]
[redacted]

87G-NY-209009-296

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| SEARCHED | INDEXED |
| SERIALIZED | FILED |
| SEP 08 1995 | |
| FBI - NEW YORK | |

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INFORMATION ABOVE IS EXTREMELY SINGULAR IN NATURE AND SHOULD NOT BE DISSEMINATED TO OUTSIDE AGENCIES.

Memorandum



To : SAC ADIC, NEW YORK [] (P) Date 8/30/95

From : SA [] (BQMRA) (C-31)

Subject : []

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Dates of Contact

AUGUST 30, 1995

File #s on which contacted (Use Titles if File #s not available)

87G-NY-209009

Purpose and results of contact

- ☐ NEGATIVE
☒ POSITIVE
☐ STATISTIC

Description of
Statistical Accomplishment

Title of Case

File No.

87G-NY-209009-295

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|-------------|---------|
| SEARCHED | INDEXED |
| SERIALIZED | FILED |
| AUG 30 1995 | |
| [] | |

Information contained herein was obtained confidentially. The informant's name is not to be disclosed in any form unless a conscious decision has been made to disclose his/her identity by an appropriate FBI official.

PERSONAL DATA

1 - []
 1 - CIMS
 1 - 87G-NY-209009
 Init. GRW/grw
 (3)

1 - SA. []
 1 - SA [] C-17

see reverse side for statistics

cl

87G-NY-209009

(1)

GRW/grw

On August 30, 1995, [] provided Special Agent [] with the following:

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Source advised, BURT KAPLAN []

[]

Source advised, []

KAPLAN []

[] he (KAPLAN) may surface in January, 1996.

Source understood [] KAPLAN []

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KAPLAN'S []

Source advised he did not think so. KAPLAN [] if he

While in the meeting, KAPLAN []

Lastly, KAPLAN was accompanied to the meeting by an individual

[] Italian nationality.

INFORMATION ABOVE IS EXTREMELY SINGULAR IN NATURE AND THEREFORE
SHOULD NOT BE DISSEMINATED TO OUTSIDE AGENCIES.

274
87-NY-209009

SEARCHED _____
SERIALIZED ASK
INDEXED _____
FILED ASK

Memorandum



To : DADIC NEW YORK (87G-NY-209009)

Date 4/6/95

From : SA [redacted] (C-31)

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b7C

Subject: BURT KAPLAN, ET AL;
TTT;
OO - NEW YORK

On 4/6/95 SA [redacted] contacted AUSA [redacted] EDNY, regarding captioned matter. [redacted] was advised that captioned investigation would be closed as no new investigative leads exist and the possibility of prosecution was minimal. [redacted] advised The Drug Enforcement Administration (DEA) would be continuing the investigation in to the marijuana allegations. [redacted] was assured captioned writer provide any information contained herein to assist [redacted] in the future if necessary.

Writer recommends placing captioned investigation in a closed status.

(X)
DS

DUPLICATE SERIALS
DESTROYED ON 4/6/95
BY BAH

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CLOSE ON THIS

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87G-NY-209009-293

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| SEARCHED | INDEXED |
| SERIALIZED | FILED |
| APR 11 1995 | |
| [redacted] | |

Memorandum



TO : DADIC, NEW YORK (87G-NY-²⁰⁹⁰⁰⁹~~200980~~)

12/5/94

FROM : SA [] C-31

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SUBJECT: BURT KAPLAN, ET AL;
TTT;
OO - NEW YORK

On December 2, 1994, SAs [] met with Assistant United States Attorney (AUSA) [] Eastern District of New York (EDNY) concerning captioned matter.

[] said that this case, previously assigned to AUSA [] has now been reassigned to her. She said that she has had recent discussions with AUSA [] officials of the Drug Enforcement Administration (DEA) and other FBI agents concerning the status of existing evidence pertaining to KAPLAN. In addition, [] said she has been reviewing documents and other evidentiary material in her possession in an effort to decide the most expedient prosecutive course to be taken.

[] opined that if she were to initiate a federal prosecution against KAPLAN via a "complaint", a marijuana charge investigated by the DEA would appear to carry the most severe punitive consequences for KAPLAN at this time. [] stated, however, that her preference would be to collate all of the fragmented evidence which exists in several collateral investigations that pertain to KAPLAN. The latter strategy would presumably produce additional criminal charges and would provide [] with an enhanced ability to obtain a conviction against Kaplan should he decide to stand trial. ②

SA [] thereafter provided [] with specific details of the evidence developed against KAPLAN in this particular investigation. In addition, SA [] provided [] with an overview of the current intelligence base that exists with respect to KAPLAN. As part of this overview, SA [] also identified witnesses who have signed cooperation agreements with the EDNY and, pursuant to those agreements, have either committed themselves to testify and/or provide continuing information relevant to KAPLAN's criminal activities.

[] requested another meeting with SA [] along with an appropriate representative of the U.S. Customs Service. The purpose of the next meeting would be to discuss the strength of the evidence pertaining specifically to the "counterfeit clothing" violations investigated jointly by the FBI and U.S. Customs.

① - 87G-NY-²⁰⁹⁰⁰⁹~~200980~~

87G-NY-209009-292

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| SERIALIZED | FILED |
| DEC 7 1994 | |
| [] RS | |

[redacted]
(1)
GRW/grw

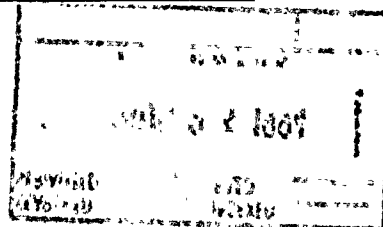
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On August 23, 1994, [redacted] advised Special Agent [redacted] with the following:

Source advised, [redacted] that BURT KAPLAN was to return to the United States in October of 1994. KAPLAN is afraid [redacted] KAPLAN is not worried as much of [redacted] KAPLAN feels [redacted] KAPLAN [redacted] went away to prison.

Additionally, [redacted] he was photographed at the funeral [redacted] by the FBI and they must be following him to learn the whereabouts of BURT KAPLAN.

INFORMATION ABOVE IS SINGULAR IN NATURE AND THEREFORE SHOULD BE DISCLOSED TO OUTSIDE AGENCIES WITHOUT PRIOR CONTACT WITH CASE AGENT.



87G-NY-209009

Continuation of FD-302 of COOPERATING WITNESS, On 8/23/93, Page 2

(CW) advised. [REDACTED]

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Lastly, [REDACTED] has an infection in his leg and has to go to NEW YORK HOSPITAL to have it taken care of. (S)

- 1 -

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/30/93

On August 23, 1993, Cooperating Witness (CW) contacted the Brooklyn-Queens Office of the Federal Bureau of Investigation to advise the reporting agent of his activities associated with a

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(CW) advised [REDACTED]

(CW) advised the conversation ended and [REDACTED]

(CW) advised the conversation switched to individual named [REDACTED] BURT (KAPLAN)

(CW) advised the conversation, with [REDACTED] and KAPLAN doing most of the talking, changed to [REDACTED]

(telephonically)

Investigation on 8/23/93 at [REDACTED] File # 87G-NY-209009by SA [REDACTED] Date dictated 8/30/93

Memorandum



To : SAC ADIC, NEW YORK [] (P) Date 11/17/93

From : SA SA [] (BQMRA) (C-31)

Subject: []

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| | | |
|---|------------------|-------------------|
| Dates of Contact | | |
| August 23, 1993 | | |
| File #s on which contacted (Use Titles if File #s not available) | | |
| 87G-NY-209009 | | |
| | | |
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| | | |
| | | |
| Purpose and results of contact | | |
| <input type="checkbox"/> NEGATIVE <input checked="" type="checkbox"/> POSITIVE <input type="checkbox"/> STATISTIC | | |
| Description of Statistical Accomplishment | Title of Case | File No. |
| <div style="text-align: right;"> <p>87G-NY-209009-231</p> <p>231</p> <p>91 AM</p> <p>NOV 18 1993</p> <p>OS</p> </div> | | |
| Information herein obtained confidentially; informant's name is not to be disclosed in a report or otherwise unless it has been decided definitely that this person is to be a witness in a trial or hearing. | | |
| PERSONAL DATA | | |
| 1 - [] | (ADMINISTRATIVE) | ① - 87G-NY-209009 |
| 1 - [] | (INVESTIGATIVE) | |
| 1 - CIMS | | |

GRW/grw
(4)

- 1 -

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/7/93

On October 4, 1993, Cooperating Witness (CW) telephonically contacted the Brooklyn-Queens office of the Federal Bureau of Investigation to advise the reporting agent of daily activities [REDACTED]

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(CW) advised. [REDACTED] BURT KAPLAN [REDACTED]

[REDACTED]

(CW) advised. [REDACTED]

[REDACTED]

Lastly, (CW) [REDACTED]

[REDACTED]

(telephonically)

Investigation on 10/4/93 at [REDACTED] File # 87G-NY-209009

by SA [REDACTED] Date dictated 10/7/93

Memorandum



To : SAC ADIC, NEW YORK [] (P) Date 11/17/93

From : SA SA [] (BQMRA) (C-31)

Subject: []

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|---|----------------------|-----------------|
| Dates of Contact <div style="text-align: center; font-weight: bold;">October 4, 1993</div> | | |
| File #s on which contacted (Use Titles if File #s not available) <div style="text-align: center; font-weight: bold;">87G-NY-209009</div> | | |
| | | |
| Purpose and results of contact <div style="margin-left: 20px;"> <input type="checkbox"/> NEGATIVE <input checked="" type="checkbox"/> POSITIVE <input type="checkbox"/> STATISTIC </div> | | |
| Description of Statistical Accomplishment | Title of Case | File No. |
| | | |
| <div style="position: relative;"> <div style="position: absolute; top: -20px; left: 0;">230</div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> 87G-NY-209009- SEARCHED [] INDEXED [] SERIALIZED [] FILED [] <div style="text-align: center; font-weight: bold;">NOV 18 1993</div> </div> <div style="position: absolute; right: -20px; top: 0;"> (2) Hrw </div> </div> | | |
| <p>Information herein obtained confidentially; informant's name is not to be disclosed in a report or otherwise unless it has been decided definitely that this person is to be a witness in a trial or hearing.</p> | | |
| PERSONAL DATA | | |
| <div style="display: flex; justify-content: space-between;"> <div> 1 - [] (ADMINISTRATIVE) 1 - [] (INVESTIGATIVE) 1 - CIMS </div> <div style="font-size: 2em; font-weight: bold;">3</div> <div> - 87G-NY-209009 </div> </div> | | |

GRW/grw
(4)

ADMINISTRATIVE:

The [redacted] who lives on [redacted] Staten
Island has been identified as [redacted]
Staten Island, Date of Birth [redacted] who is a
friend of BURT KAPLAN and PAUL CASTELLANO (deceased).

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- 1 -

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/1/93

On September 24, 1993, Cooperating Witness (CW) advised the reporting agent of [REDACTED]

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[REDACTED]
(CW) advised, on September 23, 1993 [REDACTED]
[REDACTED] BURT
KAPLAN'S [REDACTED]
[REDACTED]
[REDACTED] further told (CW)
[REDACTED]
[REDACTED] (CW) advised, the conversation took
place in [REDACTED]

Investigation on 9/24/93 at [REDACTED] File # 87G-NY-209009

by SA [REDACTED] Date dictated 10/1/93